



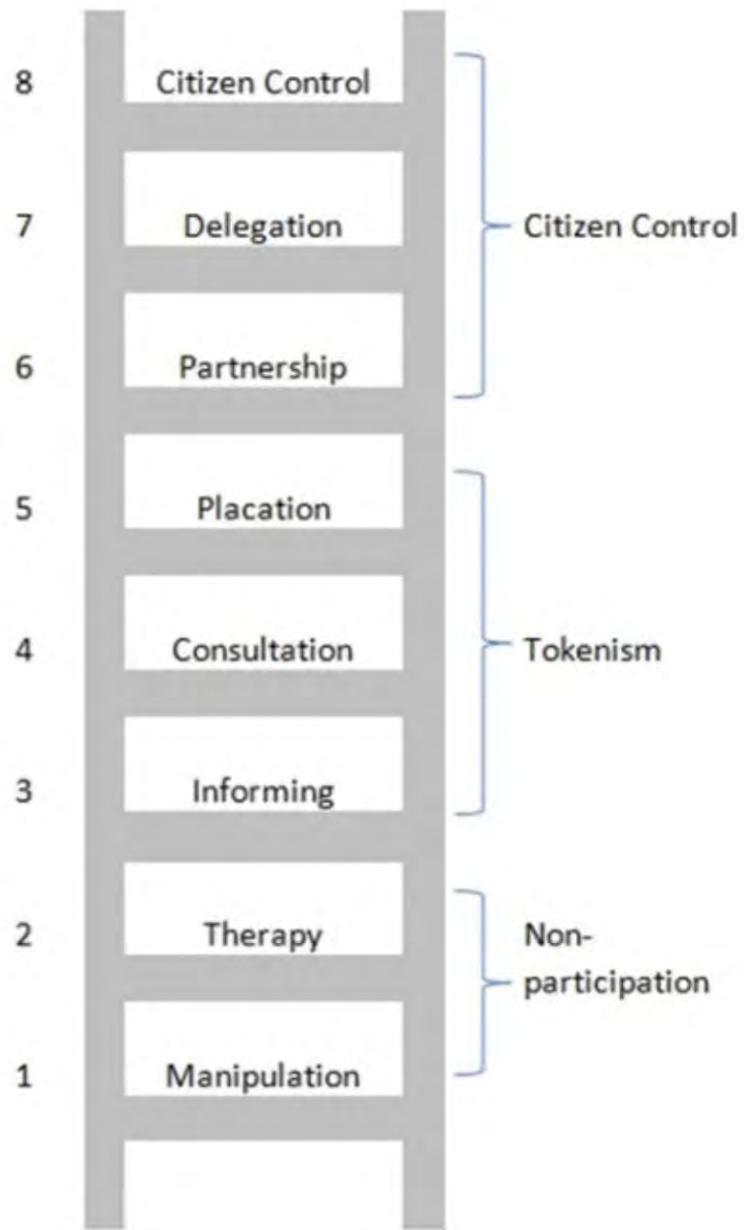
Webinar presentation slides by Max Hardy

Demystifying the IAP2 Spectrum
– understanding its purpose & potential misuses


Thursday, 6 July 2023 – 2pm AEST



<https://maxhardy.com.au>



Arnstein's ladder: Eight levels of citizen participation in government decision making (Arnstein, 1969; Figure provided by [Katrin Prager](#))



IAP2 Public Participation Spectrum

Developed by the International Association for Public Participation


INCREASING LEVEL OF PUBLIC IMPACT →

INFORM	CONSULT	INVOLVE	COLLABORATE	EMPOWER
Public Participation Goal:	Public Participation Goal:	Public Participation Goal:	Public Participation Goal:	Public Participation Goal:
To provide the public with balanced and objective information to assist them in understanding the problems, alternatives, opportunities and/or solutions.	To obtain public feedback on analysis, alternatives and/or decisions.	To work directly with the public throughout the process to ensure that public concerns and aspirations are consistently understood and considered.	To partner with the public in each aspect of the decision including the development of alternatives and the identification of the preferred solution.	To place final decision-making in the hands of the public.
Promise to the Public:	Promise to the Public:	Promise to the Public:	Promise to the Public:	Promise to the Public:
We will keep You informed.	We will keep you informed, listen to and acknowledge concerns and provide feedback on how public input influenced the decision.	We will work with you to ensure that your concerns and aspirations are directly reflected in the alternatives developed and provide feedback on how public input influenced the decision.	We will look to you for direct advice and innovation in formulating solutions and incorporate your advice and recommendations into the decisions to the maximum extent possible.	We will implement what you decide.
Example Techniques to Consider:	Example Techniques to Consider:	Example Techniques to Consider:	Example Techniques to Consider:	Example Techniques to Consider:
<ul style="list-style-type: none"> • Fact sheets • Web Sites • Open houses 	<ul style="list-style-type: none"> • Public comment • Focus groups • Surveys • Public meetings 	<ul style="list-style-type: none"> • Workshops • Deliberate polling 	<ul style="list-style-type: none"> • Citizen Advisory • Committees • Consensus building • Participatory decision-making 	<ul style="list-style-type: none"> • Citizen juries • Ballots • Delegated decisions

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IAP2 Spectrum of Public Participation

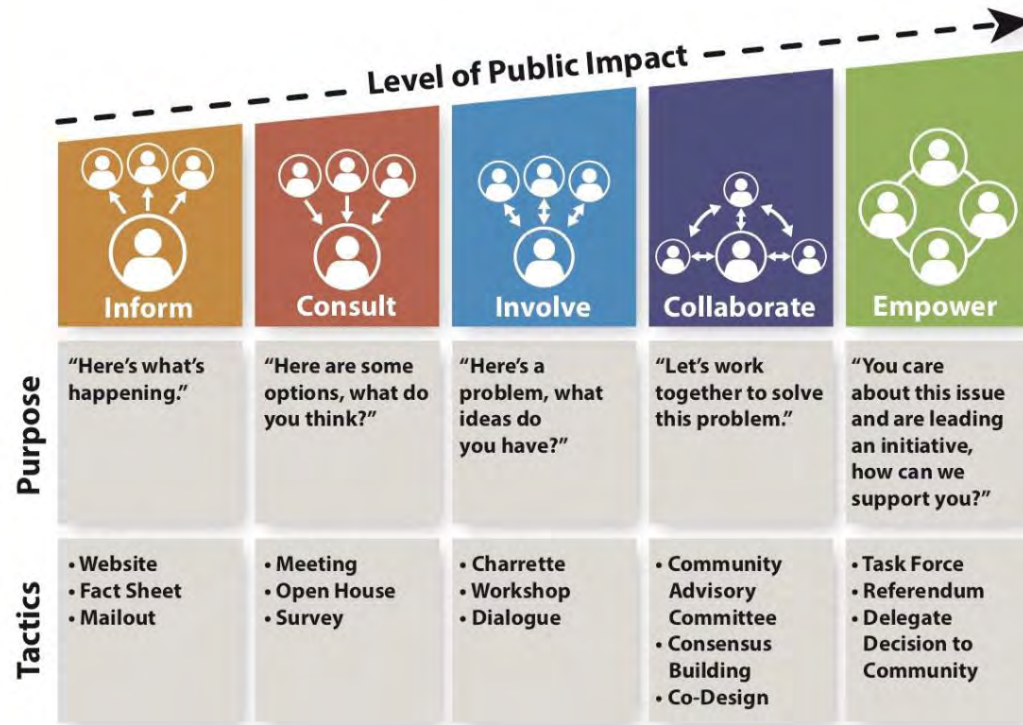
IAP2's Spectrum of Public Participation was designed to assist with the selection of the level of participation that defines the public's role in any public participation process. The Spectrum is used internationally, and it is found in public participation plans around the world.

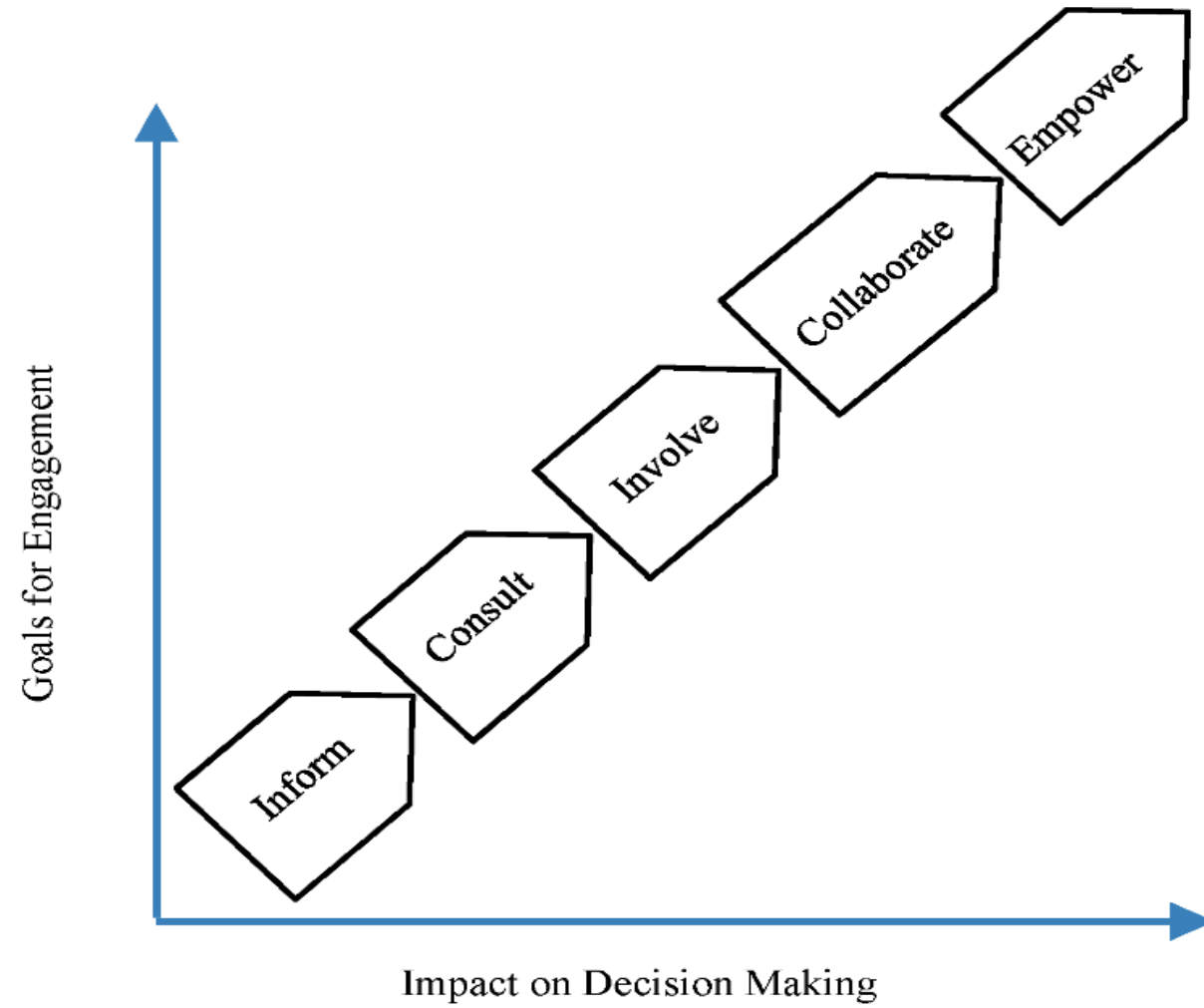
INCREASING IMPACT ON THE DECISION 

	INFORM	CONSULT	INVOLVE	COLLABORATE	EMPOWER
PUBLIC PARTICIPATION GOAL	To provide the public with balanced and objective information to assist them in understanding the problem, alternatives, opportunities and/or solutions.	To obtain public feedback on analysis, alternatives and/or decisions.	To work directly with the public throughout the process to ensure that public concerns and aspirations are consistently understood and considered.	To partner with the public in each aspect of the decision including the development of alternatives and the identification of the preferred solution.	To place final decision making in the hands of the public.
PROMISE TO THE PUBLIC	We will keep you informed.	We will keep you informed, listen to and acknowledge concerns and aspirations, and provide feedback on how public input influenced the decision.	We will work with you to ensure that your concerns and aspirations are directly reflected in the alternatives developed and provide feedback on how public input influenced the decision.	We will look to you for advice and innovation in formulating solutions and incorporate your advice and recommendations into the decisions to the maximum extent possible.	We will implement what you decide.

The public engagement spectrum

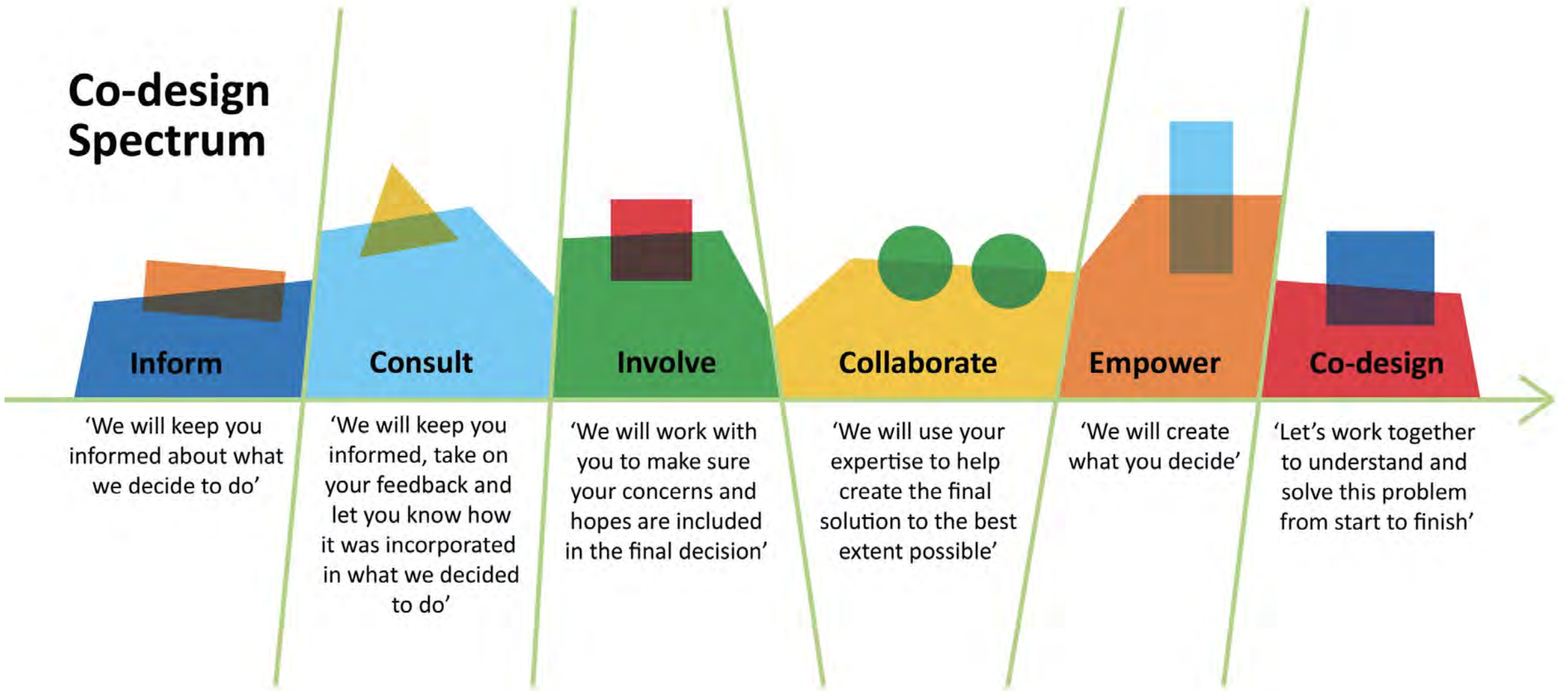
We often approach this with the mindset that we must push engagement as far to the right as possible, even when there isn't really potential to influence outcomes





Source: Adapted from the International Association of Public Participation

Co-design Spectrum



Taken from the International Association of Public Participation IAP2 spectrum.
The inclusion of co-design takes participation to the next level of self determination.

The Collaboration Continuum

Compete	Coexist	Communicate	Cooperate	Coordinate	Collaborate	Integrate
Competition for clients, resources, partners, public attention	No systematic connection between agencies	Inter-agency information sharing (networking)	As needed, often informal, interaction, on discrete activities or projects	Organizations systematically adjust and align, they work with each other for better outcomes	Longer-term interaction based on a shared mission and goals, shared decision making and resources	Fully integrated programs, planning and funding

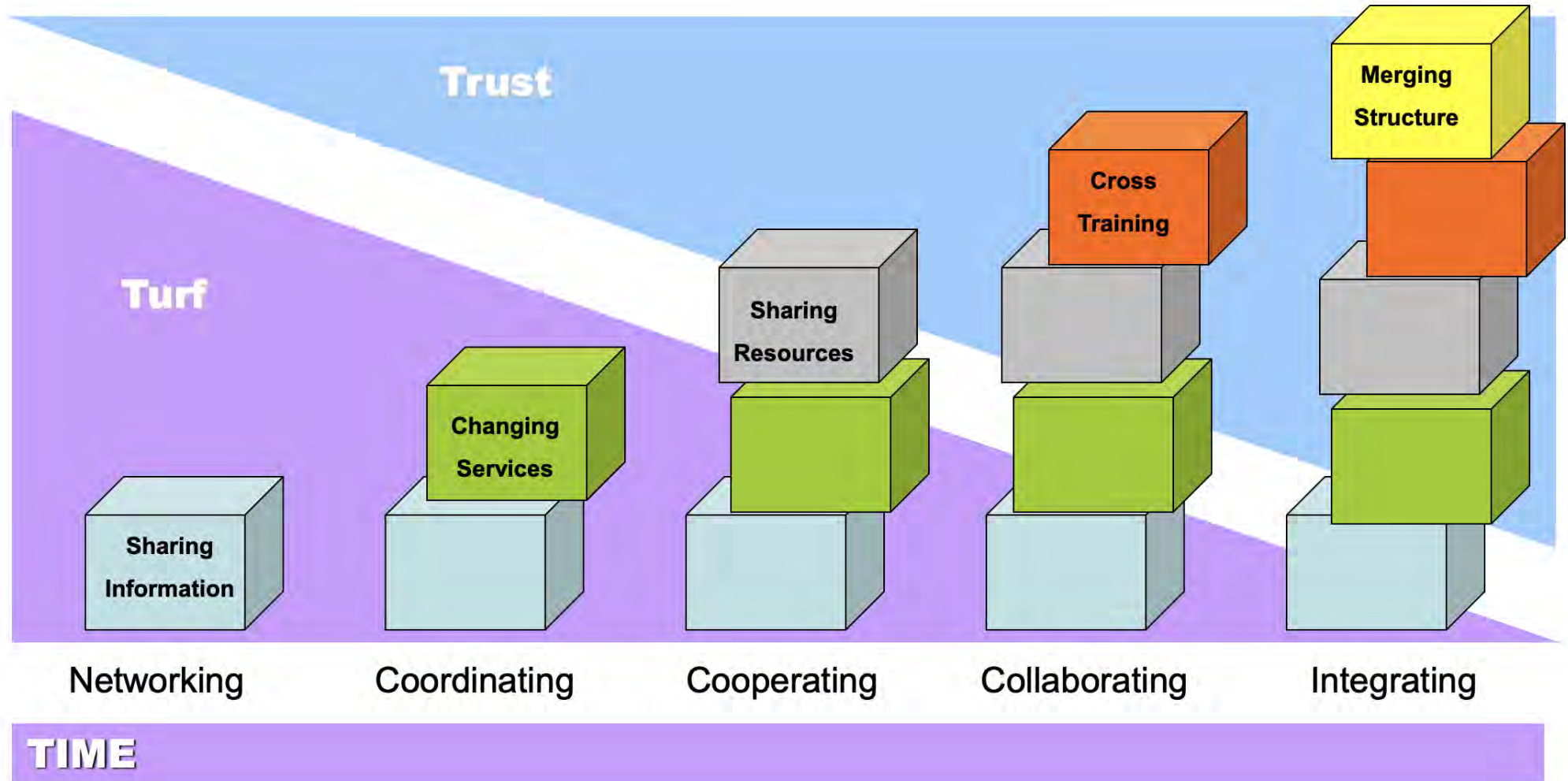
Loose Trust

Firm Trust



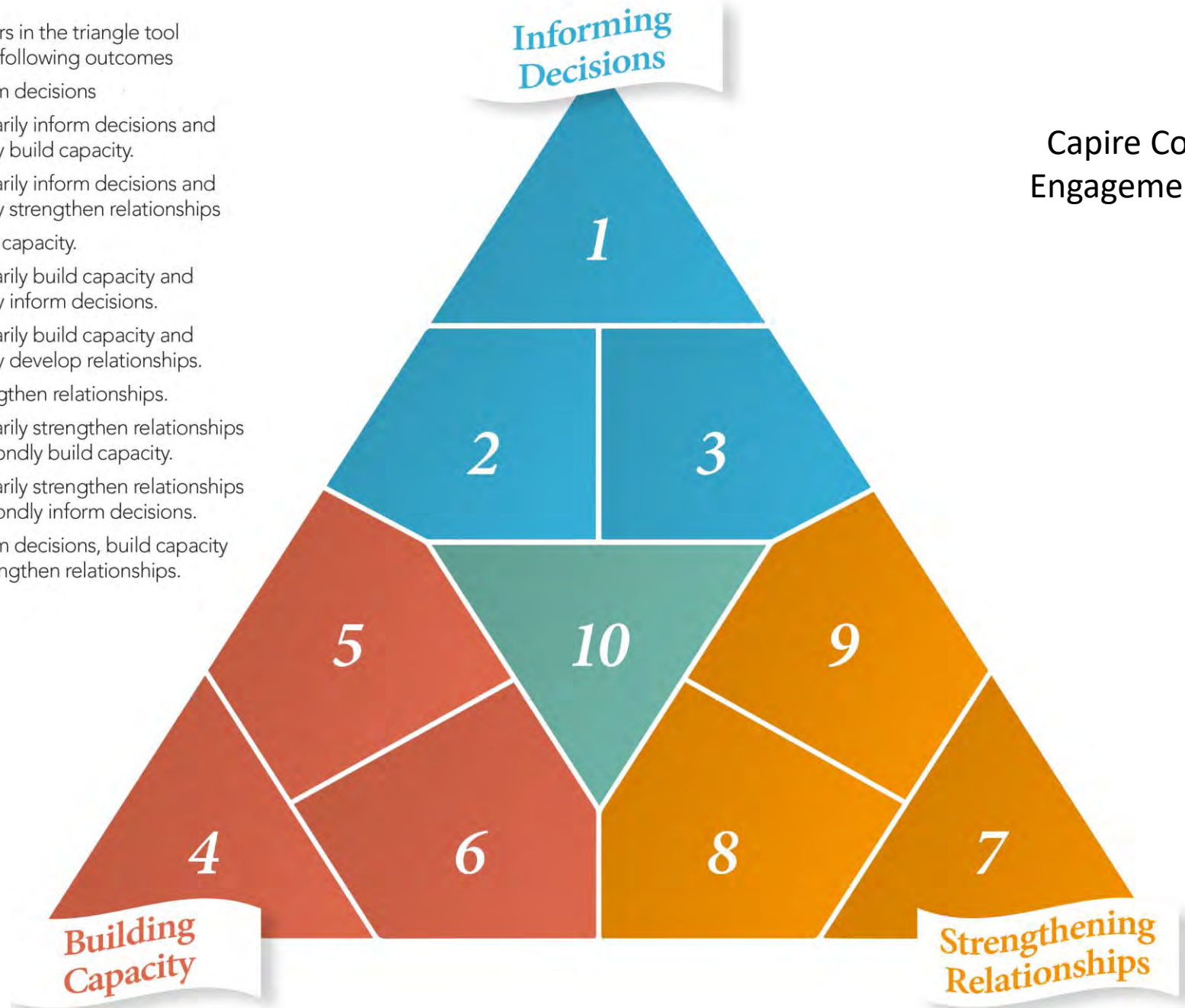
Source: Collaboration for Impact, 2017

Collaboration Continuum



The numbers in the triangle tool refer to the following outcomes

- 1 To inform decisions
- 2 To primarily inform decisions and secondly build capacity.
- 3 To primarily inform decisions and secondly strengthen relationships
- 4 To build capacity.
- 5 To primarily build capacity and secondly inform decisions.
- 6 To primarily build capacity and secondly develop relationships.
- 7 To strengthen relationships.
- 8 To primarily strengthen relationships and secondly build capacity.
- 9 To primarily strengthen relationships and secondly inform decisions.
- 10 To inform decisions, build capacity and strengthen relationships.



Capire Community Engagement Triangle



Figure 2. Chaskin's Model.

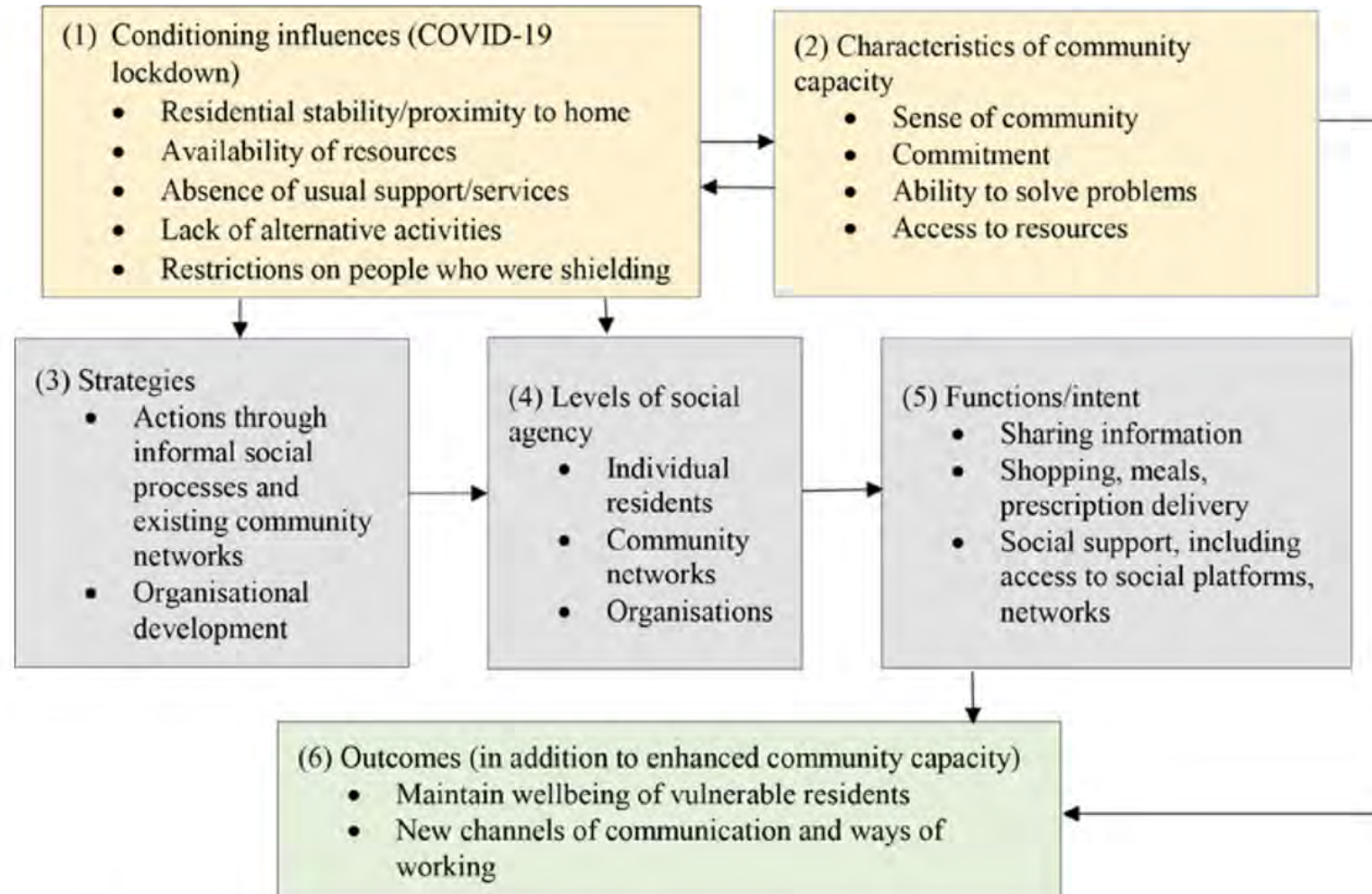


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Chaskin framework dimensions applied to diary project findings.

**BACKBONE
SUPPORT
ORGANIZATION**

**COMMON
AGENDA**

**SHARED
MEASUREMENT**

**COLLECTIVE
IMPACT**

**CONTINUOUS
COMMUNICATION**

**MUTUALLY
REINFORCED
ACTIVITY**

Inform
Consult
Involve
Collaborate
Empower

	Relationship building	Defining the problem to be solved	Designing the process	Inviting ideas/ developing options	Appreciating the complexity	Deliberating over options	Recommended solution	Decision made	Decision communicated
Steering group	Collaborate	Collaborate	Collaborate	Collaborate	Collaborate	NA	Involve	NA	Inform
Broader public	Inform	Consult	NA	Involve	Inform	NA	Consult	NA	Inform
Deliberative panel	NA	NA	NA	Collaborate	Collaborate	Collaborate	Collaborate	NA	Inform
Community Action Groups	Consult	Involve	Consult	Involve	Inform	NA	Consult	NA	Inform
Inter dept reference group	Consult	Consult	Involve	Involve	Consult	Inform	Consult	NA	Inform
Business network	Inform	Consult	Consult	Involve	Inform	NA	Consult	NA	Inform
Academic/SMEs	Inform	Involve	Consult	Involve	Inform	NA	Inform	NA	Inform
Ultimate decision makers	Consult	Consult	Inform	Inform	Inform	NA	Inform	Empower	NA